

2136

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing) Total Number of Pages in This Submission	Application Number	10/077,082
	Filing Date	February 15, 2002
	First Named Inventor	EVANS, Junius
	Art Unit	2136
	Examiner Name	Baum, Ronald
	Attorney Docket Number	IM-P006

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Rupak Nag, Esq.		
Signature	<i>Rupak Nag</i>		
Printed name	Rupak Nag		
Date	5/24/05	Reg. No.	37,493

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature	<i>Mark Duncan</i>		
Typed or printed name	Mark Duncan	Date	5/24/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet	1	of	2
-------	---	----	---

**Complete if Known**

Application Number	10/077,082
Filing Date	February 15, 2002
First Named Inventor	EVANS, Junius
Art Unit	2136
Examiner Name	BAUM, RONALD
Attorney Docket Number	IM-P006

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>			
		Application Number	10/077,082		
		Filing Date	February 15, 2002		
		First Named Inventor	EVANS, Junius		
		Art Unit	2136		
		Examiner Name	BAUM, RONALD		
Sheet	2	of	2	Attorney Docket Number	IM-P006

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		MARUYAMA, Element-Wise XML Encryption IBM Research, Tokyo Research Lab, 4/19/2000 <a href="http://lists.w3.org/Archives/Public/xml-encryption/2000Apr/att-0005/01-xmlenc">http://lists.w3.org/Archives/Public/xml-encryption/2000Apr/att-0005/01-xmlenc</a>	
		WALSH, What is XML? O'Reilly and Associates, Inc., XML.com Web site, 2000 <a href="http://www.xml.com/lptla/98/10/guidel.html">http://www.xml.com/lptla/98/10/guidel.html</a> What's a Document? paragraph	
		VASUDEVAN, A Web Services Primer O'Reilly and Assoc., Inc., XML.com Web site, 2000 <a href="http://www.xml.com/lptla/2001/04/webservicesindex.html">http://www.xml.com/lptla/2001/04/webservicesindex.html</a> Web Services Platform paragraph	
		SIMON, XML Encryption Syntax & Processing, <a href="http://web.archive.org/web/200008160415521http://lists.w3.org/Archives/Public/xml-encryption">http://web.archive.org/web/200008160415521http://lists.w3.org/Archives/Public/xml-encryption</a> , 6/16/2000	
		CURRY, Adding/Removing Records from a Data Island Bound Table, 4/19/2000 <a href="http://web.archive.org/web/20010429202904http://www.vbxml.com/xml/articles/dataisland_example!">http://web.archive.org/web/20010429202904http://www.vbxml.com/xml/articles/dataisland_example!</a>	
		MARUYAMA, Notes on XML Encryption IBM Research, Tokyo Research Lab, 4/19/2000 <a href="http://lists.w3.org/Archives/Public/xml-encryption/2000Oct/att-0002/101-note.html">http://lists.w3.org/Archives/Public/xml-encryption/2000Oct/att-0002/101-note.html</a>	
		TIDWELL, XML Security Suite: Increasing the security of e-business IBM Cyber Evangelist, April 2000 <a href="http://www-4.ibm.com/software/development/library/xmlsecuritysuiteindex.html">http://www-4.ibm.com/software/development/library/xmlsecuritysuiteindex.html</a>	
		W3C XML Core Working Group, Extensible Markup Language (XML) 1.0 (2nd Edition) W3C Recommendation 02/10/1998, <a href="http://www.xml.com/lxmJlarget.html">http://www.xml.com/lxmJlarget.html</a> entire document	
		Lindstrom, Pete, The Language of XML Security Network Magazine, June 2001, Vol 17. No.6, pages 56-60	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.